



Fund Transfer Form

Use this form to Transfer Funds between NEST Direct College Savings Plan Accounts for different Beneficiaries

Return this Form to:

NEST Direct 529
P.O. Box 83529
Lincoln, NE 68501-3529

Overnight Mail:

NEST Direct 529
1248 O Street, Suite 200
Lincoln, NE 68508

If you have questions, please call us at **888.993.3746**, Monday–Friday, 7 a.m. to 7 p.m. (CT).

1. Account Owner Information

Name (First, M.I., Last): _____

Date of Birth (MM/DD/YYYY): _____

Mobile Phone Number: _____

Secondary Phone Number: _____

2. Transfer Funds Between NEST Direct College Savings Plan Accounts

Transfer Funds From:

Account Number: _____

Beneficiary Name: _____

Transfer Funds To:

Account Number: _____

Beneficiary Name: _____

- **Important:** The Beneficiaries named on the two above-referenced Accounts must be the same person or members of the same family, or this form cannot be used. See the Program Disclosure Statement for the definition of "Member of the Family." Instead, the transfer will be considered a non-qualified withdrawal. The earnings portion of a non-qualified withdrawal is subject to federal and state income taxes, a 10% federal penalty tax and potential recapture of a previously claimed Nebraska state income tax deduction.

Relationship between Beneficiaries on the Accounts

(i.e., brother, sister, first cousin, etc.): _____

Amount to Transfer (check one)

☐ Partial Transfer: \$ _____ or _____%

☐ Entire Balance

☐ Entire Balance and Close Account

Authorization

By signing below, I certify that I am the Account Owner of the Accounts indicated on this form and that the information contained herein is true, complete, and correct. I further certify that I have read the Program Disclosure Statement and understand the rules governing non-qualified withdrawals.

Signature and Date Required

X

Signature of Account Owner, Custodian (UGMA/UTMA Accounts), or Trustee

Date

Print Name Here

Title (if other than an individual)

UBT
Union Bank & Trust
Program Manager

Nebraska Educational Savings Plan Trust, Issuer. Nebraska State Treasurer, Trustee. Nebraska Investment Council, Investment Oversight. Union Bank and Trust Company, Program Manager.